

REC'D 09 MAR 2005

WIPO

PCT

PA 1225457

IB/05/050820



THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

September 17, 2004

THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A FILING DATE UNDER 35 USC 111.

APPLICATION NUMBER: 60/551,146 ✓

FILING DATE: March 08, 2004 ✓

PRIORITY DOCUMENT

SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH RULE 17.1(a) OR (b)

By Authority of the
COMMISSIONER OF PATENTS AND TRADEMARKS



H. L. Jackson
H. L. JACKSON

Certifying Officer

Please type a plus sign (+) inside this box



PTO/SB/16 (02-01)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53 (c).

Express Mail Label No. EV 312 069 318

Date of Deposit: March 8, 2004

INVENTOR(S)

| Given Name (first and middle [if any]) | Family Name or Surname | Residence (City and either State or Foreign Country) |
|---|--|--|
| JAVIER SAI SHANKAR KIRAN JOERG | DEL PRADO PAVON NANGADOPALAN CHALLAPALI HABETHA | OSSINING, NEW YORK TARRYTOWN, NEW YORK NEW CITY, NEW YORK AACHEN, GERMANY |

☐ Additional inventors are being named on the _____ separately numbered sheets attached hereto

TITLE OF THE INVENTION (280 characters max)

METHOD TO ENABLE WUSB APPLICATIONS IN A DISTRIBUTED UWB MAC

CORRESPONDENCE ADDRESS

Direct all correspondence to:

☒ Customer Number

24737

24737

OR

Type Customer Number here



Firm or
Individual Name

PHILIPS INTELLECTUAL PROPERTY & STANDARDS

Address

P.O. BOX 3001

Address

345 SCARBOROUGH ROAD

City

BRIARCLIFF MANOR

State

NEW YORK

ZIP

10510-8001

Country

USA

Telephone

(914) 333-9608

Fax

(914) 332-0615

ENCLOSED APPLICATION PARTS (check all that apply)

☒ Specification Number of Pages

12

☐ CD(s), Number

☐ Drawing(s) Number of Sheets

☐ Other (specify)

☐ Application Data Sheet. See 37 CFR 1.76

METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT (check one)

☐ Applicant claims small entity status. See 37 CFR 1.27.

☐ A check or money order is enclosed to cover the filing fees

FILING FEE
AMOUNT (\$)

☒ The Commissioner is hereby authorized to charge filing fees or credit any overpayment to Deposit Account Number: 14-1270

160.00

☐ Payment by credit card. Form PTO-2038 is attached.

The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.

☒ No.

☐ Yes, the name of the U.S. Government agency and the Government contract number are: _____

Respectfully submitted,

SIGNATURE

Date MARCH 8, 2004

TYPED or PRINTED NAME

Aaron Waxler

REGISTRATION NO.: 48,027

(if appropriate)

Docket Number: US040143

TELEPHONE

(914) 333-9608

USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT

This collection of information is required by 37 CFR 1.51. The information is used by the public to file (and by the PTO to process) a provisional application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the complete provisional application to the PTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce,

Philips Intellectual Property & Standards.

Invention Disclosure
Version 2.0.0 21-08-2003

779409
This template is meant for making a detailed description of your invention off-line. The description can then later be attached in electronic form to your ID submission. You must also use this off-line description to obtain export clearance from your local export-control officer.

WARNING for inventors in the United States of America and China only. In these countries export control is applicable. Please use this ID template and get clearance from your local export control officer before sending your idea via Inventions On Line to IP&S.

Title of the invention:

Method to enable WUSB applications in a Distributed UWB MAC

Inventors' name(s) + e-mail address(es):

Javier del Prado Pavon: Javier.delprado@philips.com
Sai Shankar N: Sai.shankar@philips.com
Kiran Challapali: kiran.challapali@philips.com
Joerg Habetha: joerg.habetha@philips.com

Abstract of the invention:
(detailed description to be provided on next page)

This invention provides two novel ways to incorporate the host-device communication in wireless USB (WUSB). UWB MAC is considering the distributed MAC based that uses EDCA, enhanced NAV protection and DRP to exchange both isochronous and asynchronous data. This invention is concerned with data transfer between the devices and hosts that use DRP protocol.

In the first method, the host uses a multicast DRP (distributed reservation protocol) frame on behalf of devices to reserve wireless channel resources for data transfer between the host and the devices based on the device characteristics and what traffics they have. This provides a continuous reservation period wherein the host is able to receive data from all the devices in a simple TDMA fashion. In the second method the host uses a unicast DRP frame to device and negotiates the use of channel for data transfer between the device and the host. In this method the number of unicast frames sent for reservation depends on the number of devices.

Export Control Officer (US and China):

Before this ID can be submitted to the automated Invention On Line System of Philips Intellectual Property & Standards it is sent to you in your capacity of Export Control Officer of the entity (Research/Business Unit) the inventor(s) belong(s) to in order to obtain your legally required export clearance. Please fill out the following fields and return the completed form to the inventor/sender.

Name ECO: Betsy McIlvaine

Confidential. No disclosure of the contents to persons outside Philips is allowed without the written permission of Koninklijke Philips Electronics N.V. who is the owner of this information.



PHILIPS

Philips Intellectual Property & Standards

Invention Disclosure Version 2.0.0 21-08-2003

This template is meant for making a detailed description of your invention off-line. The description can then later be attached in electronic form to your ID submission. You must also use this off-line description to obtain export clearance from your local export-control officer.

Research/ Business Unit: Philips Research USA

Export clearance yes/no:yes

Export control clearance number: NLR EAR99

Letter of assurance required? (US):no

Any other comments:

Date of export control:26 Feb 04

In case of any questions ask IP&S Helpdesk ICT

Confidential. No disclosure of the contents to persons outside Philips is allowed without the written permission of Koninklijke Philips Electronics N.V. who is the owner of this information.



PHILIPS

This template is meant for making a detailed description of your invention off-line. The description can then later be attached in electronic form to your ID submission. You must also use this off-line description to obtain export clearance from your local export-control officer.

Detailed description of the invention

Please describe the invention using the headings below

Background of the invention

Begin the description of the invention with a short discussion of what is already known. If possible, include references to public documents, such as articles in technical journals, proceedings of conferences, brochures, or patent documents.

UWB MAC is considering the distributed MAC based that uses EDCA, enhanced NAV protection and DRP to exchange both isochronous and asynchronous data. Details of the distributed can be found in [1, 2 & 3]. In a wireless USB (WUSB) scenario there is one host and one or more devices that will transmit data to the host. In the wired USB scenario the host sends a token or a poll frame to the devices to request data from the devices. WUSB uses UWB MAC as a means to communicate to other devices over the wireless medium. The UWB MAC is distributed and there needs to be a method by which the host can reserve the channel resources on behalf of the devices so that the devices can transmit data when requested by the host. This disclosure provides two methods on reserving the wireless channel resources so that the devices can send data to the host.

Method 1: Multicast DRP protocol makes the host of the WUSB to initiate a multicast DRP frame to reserve wireless channel resources on behalf of the devices. All the devices that are associated to the host communicate the device characteristic beforehand, which is used by the host to reserve the wireless channel on behalf of the devices. The advantage of this system is that the overhead of reservation is one or slightly more than one frame. Also there is a one contiguous time period for use by the host to receive data from the devices. This contiguous period uses a protocol called micro-scheduling. The micro-scheduling protocol is being defined by the WUSB working group. Thus the multicast DRP is a simple way to incorporate micro-scheduling scheme that is present in the current WUSB specification. The disadvantage of this scheme is that if the devices are not in agreement with the hosts reservation then we need to initiate another multicast frame or do unicast reservations for those devices that did not agree with the initial multicast reservation.

Method 2: In the second method the host initiates a separate unicast DRP reservation to all the devices to set aside the channel resources. So the number of reservation frames that need to be sent on the channel is directly proportional to the number of devices. This reservation initiation is equivalent to the MMC command in the current WUSB specification. Once the reservation is set, the host can use a poll frame to request the device to transmit data. The poll frame can also be sent by the host using the EDCA channel access, which has the control of the medium for a time specified by the EDCA TXOP limit to request the devices to transmit data.

For both the methods the host needs to know the capabilities of the devices which is clearly indicated

Philips Intellectual Property & Standards

Invention Disclosure Version 2.0.0 21-08-2003

This template is meant for making a detailed description of your invention off-line. The description can then later be attached in electronic form to your ID submission. You must also use this off-line description to obtain export clearance from your local export-control officer.

by the devices when they put the beacon. Also the host includes its capabilities in the beacon. For the method1, the DNTS slots within the micro scheduling DRP period is used by the device to indicate traffic or EDCA may be used for both the schemes.

References:

- [1] Enhanced NAV mechanism for optimal reuse of the spectrum, ID Number: 779489
- [2] Beaconsing Protocol for Ad-Hoc Networks, ID Number: 779458
- [3] Distributed Reservaton Protocol, ID Number: 299284
- [4] Micro-scheduling Specification, Revision 0.5

Problems or disadvantages overcome by the invention

Usually an invention solves a particular problem or removes some disadvantage of known methods/devices etc. Are the disadvantages/problems new or were they already known?
It provides a clean way to enable WUSB application in the DRP protocol.

The essential feature(s) of the invention

The measures/device features that are proposed to solve the problem, and the resulting advantages. If the invention is based on a new understanding (insight), please indicate this.

This is a novel distributed protocol that provides a clear solution to incorporate WUSB data transfer.

Detailed description of how to build and use the invention

Here all options, alternatives, improvements and enhancements (which we call "embodiments") are described. You should always include at least one fully explained embodiment with all the necessary details. Please add drawings, graphs, test data etc. where appropriate.

Implementing the above methods as part of the MAC protocol for WPAN will enable UWB MAC to incorporate WUSB data transfer without any changes in the USB specification. See attached for the invention detailed description:



"WUSB support.ppt"

Applications of the invention

Indicate here in which fields (technical, commercial) the invention can be applied. Please include any references to Philips products or projects relate to the invention.

Confidential. No disclosure of the contents to persons outside Philips is allowed without the written permission of Koninklijke Philips Electronics N.V. who is the owner of this information.



PHILIPS

Philips Intellectual Property & Standards

Invention Disclosure

Version 2.0.0 21-08-2003

This template is meant for making a detailed description of your invention off-line. The description can then later be attached in electronic form to your ID submission. You must also use this off-line description to obtain export clearance from your local export-control officer.

This invention can be used in all devices that use distributed CSMA/CA protocol. This is particularly useful for UWB MAC.

Confidential. No disclosure of the contents to persons outside Philips is allowed without the written permission of Koninklijke Philips Electronics N.V. who is the owner of this information.



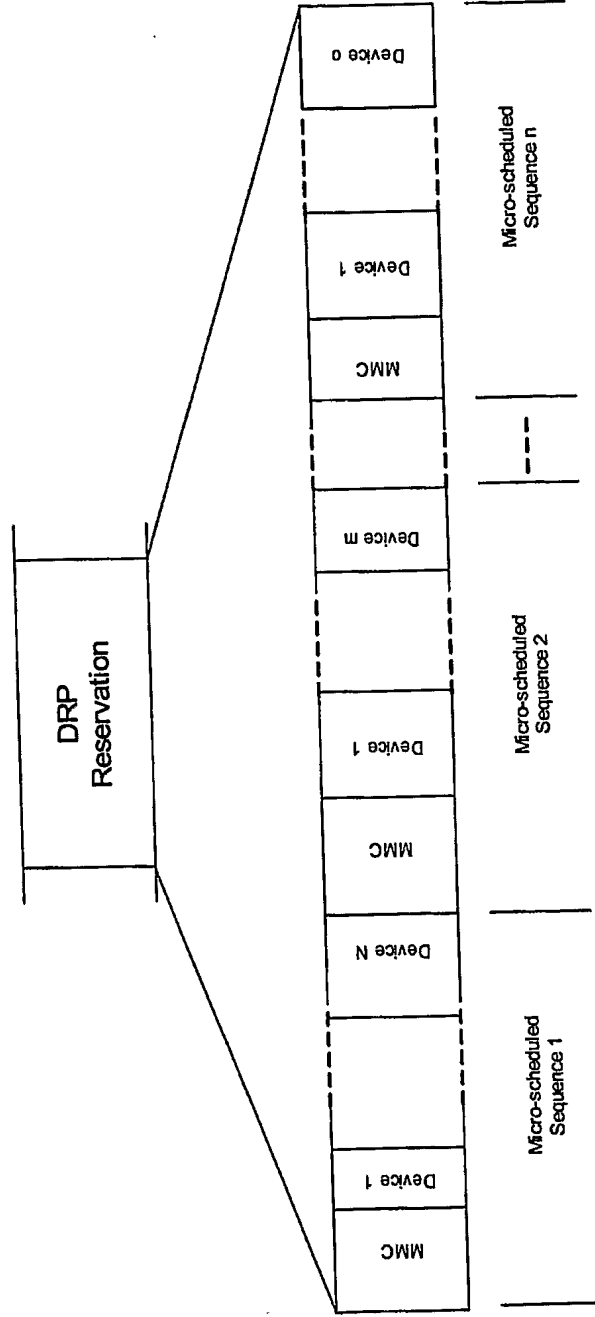
PHILIPS

Introduction

- The Goal
 - *To Support WUSB with the Philips Distributed MAC proposal*
- Different options are available
 - Need to evaluate which is the best option

Option 1 – Multicast *DRP* with *micro-scheduling*

- The WUSB Host initiates a *DRP* multicast reservation with/on behalf of WUSB devices
- Once the reservation is established, *micro-scheduling* is used



Option 1 – Multicast DRP with *micro-scheduling*

- Advantages
 - Uses Micro-scheduling as defined by WUSB protocol
- Issues that need to be solved
 - Multicast DRP negotiation may be complex
 - If one or more devices cannot accept it
 - If a new device comes up
 - The Host needs to control the medium during DRP and avoid contention with other devices

} → need to re-start negotiation?

Option 2 – *DRP with “Poll” Frame*

- **DRP with Poll Frame:**
 - WUSB Host initiates (unicast) **DRP** with each device
 - Equivalent to MMC command
 - Once the negotiation is established
 - WUSB devices transmit during the reserved period
 - In addition we can define a **Poll** frame, which is sent by the WUSB Host to trigger transmission from WUSB device
- “**Poll**” frame could be also used for WUSB transmissions that do not require **DRP**
 - “**Poll**” Frame is sent using **EDCA**

Option 2 – *DRP* with “Poll” Frame

- Advantages
 - Natural extension of *DRP*
 - *WUSB* devices can be very simple
- Disadvantages
 - Higher *DRP* negotiation overhead
 - depending on number of *WUSB* devices
 - May be less efficient than micro-scheduling
 - *DRP* reservations may be spread throughout the superframe

WUSB Host Discovery and Device Notification

- WUSB Host discovery is done via the beacon
 - WUSB Host includes its capabilities in the beacon
- WUSB Device Notification Traffic
 - In option 1
 - DNTS slots within the micro-scheduling DRP Reservation can be used
 - EDCA may be a second option
 - In option 2
 - Device Notification traffic can be sent using EDCA

(Slotted) DRP resolution

- Currently DRP resolution is in the order of usec.
- The problem is that multiple WUSB devices can make arbitrary reservations and it may be difficult to pack them in the channel.
- In order to achieve better packing and easy implementation, the Offset and Duration fields in the DRP reservations are set to a multiple of "X", where X, is the desired resolution of the DRP reservations or the size of the DRP slot, for example $X = 625 \text{ usec}$